



**UNIVERSITY OF GUAM
UNIBETSEDÁT GUÅHAN
Board of Regents**

Resolution 26-07

RELATIVE TO ESTABLISHING THE RESEARCH AFFILIATE POLICY

WHEREAS, the University of Guam (UOG) is the primary U.S. Land Grant and Sea Grant institution accredited by the Western Association of Schools and Colleges Senior College and University Commission serving the post-secondary needs of the people of Guam and the Western Pacific region;

WHEREAS, the University seeks to enhance its research capabilities by establishing formal mechanisms for collaboration with qualified individuals who are not full-time employees but possess specialized expertise valuable to UOG research initiatives;

WHEREAS, research affiliates can contribute significantly to both funded and unfunded research projects, bringing diverse perspectives and expertise from academic institutions, government agencies, non-governmental organizations, and industry;

WHEREAS, establishing clear guidelines for research affiliate appointments will ensure compliance with institutional policies, protect intellectual property rights, maintain research integrity, and provide proper administrative oversight;

WHEREAS, the Research Affiliate Policy establishes eligibility criteria requiring a minimum of a Master's degree, demonstrated scholarly achievement, professional position, and sponsorship from a full-time UOG faculty member and appropriate division or unit;

WHEREAS, the policy provides for research affiliates to serve as Co-Principal Investigators on collaborative projects, with appropriate administrative oversight by UOG faculty or administrators serving as Principal Investigators; and

WHEREAS, the Research Affiliate Policy has been reviewed and endorsed by the Center for Research and Innovation, the Administrative Council, the Faculty Union, the Senior Vice President and Provost, and the UOG President, for Board of Regents (BOR) approval.

NOW, THEREFORE, BE IT RESOLVED, that the BOR hereby approves the Research Affiliate Policy as attached to this resolution; and

BE IT FURTHER RESOLVED, that the Research Affiliate Policy shall be included in the UOG Administrator Manual and reviewed annually by the Center for Research and Innovation as specified in the policy.

Adopted this 19th day of February, 2026.

Mike W. Naholowaa, Acting Chairperson

ATTESTED:

Anita Borja Enriquez, D.B.A., Executive Secretary

University of Guam Research Affiliate Policy

1. Purpose

The purpose of this policy is to establish the guidelines for individuals who seek to become research affiliates at the university, including their roles, responsibilities, and the process for their engagement.

2. Scope

This policy applies to all individuals who are not full-time employees of the university but wish to collaborate on research projects as affiliates. The collaboration may include the following:

- Engagement in both funded and unfunded research initiatives
- Participation that spans across any scholarly or creative endeavors
- Meaningful research partnerships without requiring full-time university employment

A Research Affiliate can hold the role of Co-Principal Investigator (Co-PI) in a collaborative Project with the University of Guam. For grants where the primary applicant is not a UOG Faculty or Administrator, the following administrative requirements must be met:

- i. A UOG Faculty or Administrator must be designated as the Principal Investigator (PI) in the UOG grant and research reporting system, to include completion of Conflict of Interest (COI) disclosure.
- ii. The original applicant will be designated as the Co-Principal Investigator (Co-PI) to ensure:
 - Institutional compliance with UOG grant administration protocols and COI
 - Clear lines of administrative accountability
 - Proper oversight of grant-related activities and reporting
- iii. The Indirect Cost Rate allocation shall remain the same.
- iv. Workspace is not guaranteed and based on campus availability.

3. Eligibility

T: +1 671.735.2001 F: +1 671.734.4600 W: www.uog.edu/cnas

Mailing Address: 303 University Drive UOG Station Mangilao, Guam 96913

The University of Guam is a U.S. Land Grant Institution accredited by the Western Association of Schools and Colleges Senior College and University Commission and is an equal opportunity provider and employer.

To qualify as a research affiliate, individuals must meet the following criteria:

- A minimum of a master's degree in his or her specialty area. The Senior Vice President & Provost, upon the written recommendation of the Vice Provost of Center for Research and Innovation may authorize a variance for this eligibility requirement to an individual who holds uniquely specialized knowledge that is essential to a research project's scope.
- A demonstrated record of scholarly research or professional achievement.
- A Faculty or Research position at an academic institution, government agency, NGO, or Industry.
- Sponsorship from a full-time faculty member and the respective Division at the University of Guam.

4. Application Process

Prospective research affiliates must complete the following steps:

- Submit a formal application, including a curriculum vitae (CV) and a statement of research interests.
- Obtain a letter of support from a sponsoring faculty member.
- Provide proof of funding for the proposed research activities.

The approval process shall be through the Division, followed by the Dean or Director, Vice Provost Office of the Center for Research and Innovation, finally the Senior Vice President and Provost.

5. Roles and Responsibilities

Research affiliates are expected to:

- Conduct research in accordance with university policies and ethical guidelines.
- Comply with all relevant university policies, including those related to intellectual property, data management, and research integrity
- Collaborate with university faculty, staff, and/or students.
- Contribute to the academic community through publications, presentations, and other scholarly activities.

6. Terms of Appointment

Research affiliate appointments are typically for a specified term, not exceeding three years, and subject to renewal based on performance annually and funding availability.

Affiliates may receive access to university facilities and resources, as necessary for their research.

Affiliates are not entitled to compensation or employee benefits unless otherwise agreed upon in writing.

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7. Termination

The university reserves the right to terminate a research affiliate's appointment at any time for cause, including but not limited to:

- Violation of university policies or ethical guidelines.
- Failure to meet the responsibilities outlined in this policy.
- Misconduct or other behavior deemed detrimental to the university.

8. Review and Approval

This policy shall be reviewed annually by the Center for Research and Innovation and approved by the Senior Vice President and Provost.

9. Effective Date

This policy is effective as of February 19, 2026.